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OIPE JC59 JUN 03 2004 PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.	:	10/678,386	Confirmation No. 3508
Applicant	:	SURESH C. GUPTA ET AL.	
Filed	:	October 3, 2003	
Title	:	METHOD AND APPARATUS FOR CONTROLLING OUTPUT CURRENT OF TURBINE/ALTERNATOR ON COMMON SHAFT	
Group Art Unit	:	2834	
Examiner	:	Not Yet Assigned	

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Pursuant to the requirements of 37 C.F.R. §1.56, 1.97, and 1.98, Applicants hereby submit this Information Disclosure Statement, which includes a completed Form PTO/SB/08A.

This application is a division of application No. 10/446,386, which is a continuation of application No. 09/840,572, filed April 23, 2001, which is a continuation of application No. 09/319,390, filed June 1, 1999, which is the United States national phase of International Application No. PCT/US97/22405, filed December 3, 1997 which designated, *inter alia*, the United States, and which claims the benefit of provisional application No. 60/032,149, filed December 3, 1996. The U.S. and EP references listed on Form

I hereby certify that this corresponding is being deposited with the United States Postal Service as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on June 1, 2004.

Lori A. Fratangelo
(Type or Print Name of Person Making Deposit)

Lori A. Fratangelo 6/01/2004
Signature Date

PTO/SB/08A were submitted and cited by either Applicants or Examiner during prosecution of the aforementioned cases.

Pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed to be due for the submission of this Information Disclosure Statement, as it is being submitted before the mailing date of a first Office Action on the merits. However, should an Office Action on the merits issue prior to the mailing date of this Information Disclosure Statement, the Commissioner for Patents and Trademarks is hereby authorized to charge any additional fees which may be required to Deposit Account No. 23-0650. One (1) original and two (2) copies of this Information Disclosure Statement are enclosed.

Respectfully submitted,

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known	
Application Number	10/678,386
Filing Date	October 3, 2003
First Named Inventor	Suresh C. GUPTA
Group Art Unit	2834
Examiner Name	Not Yet Assigned
Attorney Docket Number	3419-031890

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No.[1]	U.S. Patent Document Number	Kind Code[2]	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	1	3,613,360		HOWES, L.D.	10-19-1971	
	2	3,775,663		TURNBULL, Fred G.	11-27-1973	
	3	4,862,009		KING, Robert D.	08-29-1989	
	4	4,992,721		LATOS, Thomas S.	02-12-1991	
	5	5,119,071		TAKEZAWA et al.	06-02-1992	
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	7	5,428,275		CARR et al.	06-27-1995	
	8	Re 34,962		SHEKLETON et al.	06-13-1995	
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	10	5,559,421		MIYAKAWA, Kado	09-24-1996	
	11	5,685,156		WILLIS et al.	11-11-1997	
	12	5,714,851		ANTONY et al.	02-03-1998	
	13	5,903,116		GEIS et al.	05-11-1999	

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.[1]	Foreign Patent Document Office[3]	Number[4]	Kind Code[5]	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T[6]
	14	EP	0 742 634	A2	BOSLEY, Robert W.	11-13-1996		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No.[1]	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, cite and/or country) where published.	T[2]
	15	YASUO FUJIKAWA, "Transportable Micro Gas Turbine Generator", Shinko Electric Journal, No. 143, Vol. 41, September 20, 1996.	✔

Examiner Signature		Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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